



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/27/99 1594	09/10/99	BLUMALD	E 4001
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0212/0407

PATRICIA A SWEENEY
1035 PLEASANT STREET
WEST DES MOINES IA 50265

NOT ASSIGNED

1649

DATE MAILED:

04/07/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1963.00.

☒ 1. The statutory basic filing fee is:

- ☐ missing.
☒ insufficient.

Applicant must submit \$ 546.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 1933.00, including any multiple dependent claim fees, are required.

\$ 546.00 for 7 independent claims over 3.

\$ 1,387.00 for 17 dependent claims over 20.

\$ 300.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration: 07/13/1999 ABRAHAM 00000032 09271584

- ☐ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

01 FC:102	78.00 DP
02 FC:103	324.00 DP
03 FC:104	260.00 DP

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the one and inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

07/13/1999 ABRAHAM 00000031 09271584
FC:105
7:199
07/13/1999 ABRAHAM 00000031 09271584
-662.00 DP



Attorney Docket No. 4001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eduardo BLUMWALD et al. | Art Unit: 1649

Serial No.: 09/271,584 |

Filed: March 18, 1999 |

Examiner:

For: GENETIC ENGINEERING
SALT TOLERANCE IN CROP PLANTS |

TRANSMITTAL LETTER

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Please find enclosed the following:

 X

Declaration

 X

Check in the amount of \$902.00 total for: \$130.00 under 37 C.F.R. §1.16(e) for late declaration; \$662.00 under 37 C.F.R. §1.16(b)-(d) for excess claims; \$110.00 extension of time of one month under 37 C.F.R. 1.17§(a).

 X

Amendment

 X

Request for Extension of time.

 X

Copy of Notice to file missing parts.

A duplicate copy of this sheet is enclosed.

Date

July 7, 1999

Patricia A. Sweeney

Reg. No. 32,733

Patricia A. Sweeney
1835 Pleasant
West Des Moines, IA 50265-2334
(515)222-0921

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this Amendment is being deposited on the date shown below with the United States Postal Service first class mail addressed to the Assistant Commissioner for Patents, Box Missing Parts, U.S. Patent & Trademark Office, Washington, D.C. 20231.

Date

July 7, 1999

Patricia A. Sweeney

AMENDMENT TRANSMITTAL LETTER (Large Entity)	Docket No.
Applicant(s): Blumwald et al.	4001

Serial No. 09/271,584	Filing Date March 18, 1999	Examiner	Group Art Unit 1649
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Invention: **GENETIC ENGINEERING SALT TOLERANCE IN CROP PLANTS**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	38 -	20 =	18 x	\$18.00	\$324.00
INDEP. CLAIMS	4 -	3 =	1 x	\$78.00	\$78.00
Multiple Dependent Claims (check if applicable) <input checked="" type="checkbox"/>					\$260.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$662.00

- ☐ No additional fee is required for amendment.
- ☐ Please charge Deposit Account No. _____ in the amount of _____
A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$662.00 to cover the filing fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. _____
A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Patricia A. Sweeney
Signature

Dated: July 7, 1999

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

I hereby certify that this Amendment is being deposited on the date shown below with the United States Postal Service first class mail addressed to the Assistant Commissioner for Patents, Box Missing Parts, U.S. Patent & Trademark Office, Washington, D.C. 20231.

July 7, 1999
Date

Patricia A. Sweeney
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